



PRIM CAPITAL CORPORATION
FINANCIAL PLANNING QUESTIONNAIRE

6500 ROCKSIDE ROAD, SUITE 370
 INDEPENDENCE, OH 44131
 TELEPHONE 216.830.1111 FAX 216.447.1938

DATE

PERSONAL INFORMATION	CLIENT	SPOUSE
FULL NAME		
HOME ADDRESS		
CITY, STATE, ZIP		
HOME TELEPHONE		
DATE OF BIRTH		
SOCIAL SECURITY NUMBER		
DESIRED RETIREMENT AGE/YEAR		
EMPLOYER		
STREET ADDRESS		
CITY, STATE, ZIP		
TELEPHONE		
FAX		
E-MAIL ADDRESS		

INSURANCE INFORMATION (YOU MAY NEED TO REVIEW YOUR INSURANCE POLICIES IN ORDER TO GET THIS INFORMATION)

PERMANENT LIFE INSURANCE	\$	\$
TERM LIFE INSURANCE	\$	\$
CASH VALUE (LESS LOANS)	\$	\$
LONG-TERM CARE	\$	\$

RISK PROFILE

INVESTMENT ATTITUDE		
VERY CONSERVATIVE		
CONSERVATIVE		
MODERATE		
AGGRESSIVE		
VERY AGGRESSIVE		
INVESTMENT EXPERIENCE		
NONE		
VERY LITTLE		
MODERATE		
SIGNIFICANT		
EXTENSIVE		

SOCIAL SECURITY

AGE TO START BENEFIT		
ANTICIPATED ANNUAL INCREASE RATE	%	%
EARNED INCOME NOW (TO COMPUTE BENEFIT)	\$	\$
OR ANTICIPATED OR CURRENT ANNUAL BENEFIT	\$	\$



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DEFINED BENEFIT PENSION(S) INCLUDE INFORMATION ON PENSION PLANS THAT PROVIDE AN ANNUAL INCOME BASED ON YOUR YEARS OF SERVICE OR INCOME LEVEL (I.E., MILITARY PENSION, STATE PENSION, ETC.)

	CLIENT PENSION 1	CLIENT PENSION 2	SPOUSE PENSION 1	SPOUSE PENSION 2
ANTICIPATED ANNUAL AMOUNT	\$	\$	\$	\$
STARTING AGE				
INCREASE RATE BEFORE RETIREMENT	%	%	%	%
INCREASE RATE AFTER RETIREMENT	%	%	%	%
SURVIVOR BENEFIT	%	%	%	%

EXPENSES ESTIMATE ANNUAL FIGURES FOR EXPENSES RELATED TO SHELTER, FOOD, CLOTHING, TRANSPORTATION, INSURANCE, LOANS, ETC. DO NOT INCLUDE TAXES.

ANNUAL LIVING EXPENSES (CURRENT DOLLARS)		ANNUAL INFLATION RATES FOR LIVING EXPENSES	
Now	\$	BEFORE RETIREMENT	%
CURRENT SURVIVING HOUSEHOLD	\$	SURVIVING HOUSEHOLD	%
DURING RETIREMENT	\$	DURING RETIREMENT	%
SINGLE RETIREE SURVIVOR	\$	SINGLE RETIREE SURVIVOR	%

EDUCATION AND SPECIAL INCOME/EXPENSES

SPECIAL INCOME/EXPENSES (LIST ANY OTHER SOURCES OF INCOME OF SPECIAL EXPENSES TO BE PAID FROM YOUR CAPITAL ACCOUNTS.)

DESCRIPTION	ANNUAL AMOUNT	ANNUAL INCREASE RATE	STARTING YEAR	NUMBER OF YEARS
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		
	\$	%		

CHILDREN'S EDUCATION AND FUND EXPENSES

CHILD'S NAME	DATE OF BIRTH	AGE TO BEGIN COLLEGE	COST PER YEAR*	NUMBER OF YEARS	CURRENT COLLEGE FUND
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$
			\$		\$

*IN TODAY'S DOLLARS

INFLATION RATE TO USE FOR COLLEGE PLANNER	%
RATE OF RETURN ON COLLEGE FUNDS	%
COLLEGE FUND ACCOUNT TYPES (529, UGMA, ETC.)	%



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ASSETS LIST CAPITAL ASSETS INCLUDING BANKING ACCOUNTS, INVESTMENT ACCOUNTS, STOCKS, BONDS, MUTUAL FUNDS, BUSINESS INTERESTS AND OTHER FINANCIAL ASSETS

ASSET NAME	CURRENT VALUE*	ANNUAL ADDITIONS	ACCOUNT DESCRIPTION (I.E. STOCK, 401K, BANK ACCOUNT, ETC.)
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			
11.			
12.			
13.			
14.			
15.			
16.			
17.			
18.			
19.			
20.			
21.			
22.			
23.			
24.			
25.			

*IN TODAY'S DOLLARS

OTHER ASSET VALUES		OTHER DEBTS/LIABILITIES	
RESIDENCE VALUE	\$	RESIDENCE MORTGAGE	\$
PERSONAL PROPERTY	\$	CREDIT CARD BALANCES	\$
AUTOMOBILES	\$	AUTOMOBILE LOANS	\$
BOATS, RV, ETC.	\$	BOAT, RV, ETC., LOANS	\$
OTHER ASSETS	\$	OTHER LOANS	\$



PRIM CAPITAL CORPORATION
RISK ASSESSMENT QUESTIONNAIRE

CLIENT

SPOUSE

- | | | |
|--|--------------------------|--------------------------|
| 1. I AM MORE CONCERNED ABOUT PROTECTING MY ASSETS THAN ABOUT GROWTH. | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. I PREFER THE EASE OF MUTUAL FUNDS TO THE UNCERTAINTY OF TRYING TO PICK WINNING STOCKS. | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. PROFESSIONAL ADVISORS AND MUTUAL FUNDS MAY ACHIEVE HIGHER GROWTH THAN I CAN. | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. I AM COMFORTABLE WITH INVESTMENTS THAT PROMISE SLOW, LONG-TERM APPRECIATION AND GROWTH. | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. I DON'T BROOD OVER BAD INVESTMENT DECISIONS I HAVE MADE. | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. I FEEL COMFORTABLE WITH AGGRESSIVE GROWTH INVESTMENTS. | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. I DO NOT LIKE SURPRISES. | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. I AM OPTIMISTIC ABOUT MY FINANCIAL FUTURE. | <input type="checkbox"/> | <input type="checkbox"/> |
| 9. MY IMMEDIATE CONCERN IS FOR INCOME RATHER THAN GROWTH OPPORTUNITIES. | <input type="checkbox"/> | <input type="checkbox"/> |
| 10. I AM A RISK TAKER. | <input type="checkbox"/> | <input type="checkbox"/> |
| 11. I MAKE INVESTMENT DECISIONS COMFORTABLY AND QUICKLY. | <input type="checkbox"/> | <input type="checkbox"/> |
| 12. I LIKE PREDICTABILITY AND ROUTINE IN MY DAILY LIFE. | <input type="checkbox"/> | <input type="checkbox"/> |
| 13. I USUALLY PICK THE "TRIED AND TRUE," THE SLOW, SAFE BUT SURE INVESTMENTS. | <input type="checkbox"/> | <input type="checkbox"/> |
| 14. I NEED TO FOCUS MY INVESTMENT EFFORTS ON RESERVE FUNDS AND INSURANCE RATHER THAN GROWTH. | <input type="checkbox"/> | <input type="checkbox"/> |
| 15. I PREFER PREDICTABLE, STEADY RETURNS ON MY INVESTMENTS, EVEN IF THE RETURN IS LOW. | <input type="checkbox"/> | <input type="checkbox"/> |



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