

**Prim Securities Incorporated
General Operations – Books, Records and Administrative
BCP Disclosure Statement provided to customers**

BUSINESS CONTINUITY PLAN DISCLOSURE STATEMENT TO CLIENTS

Prim Capital Corp. and its affiliated companies, including Prim Securities Incorporated and Prim Advisors, Inc. (Prim), maintain an important Business Continuity Plan (BCP) that may be put into place in the event of a significant business disruption, such as a natural disaster or terrorist event. The BCP is an important part of emergency procedures that will be enacted in the event of a significant business disruption and is required by The Financial Industry Regulatory Authority (FINRA) and the Securities and Exchange Commission (SEC).

If, after a significant business disruption, you cannot contact Prim at 216-830-1111, you should go to our website at www.primcapital.com for further information.

(1) If you cannot access us through telephone or website and your concern is regarding your Prim Securities mutual fund account from which you receive quarterly statements directly from the mutual fund company, you should contact the mutual fund company at the number shown on your quarterly statement. You will be given instructions on how to receive prompt access to funds and securities, enter orders, and process other trade-related cash and security transfer transactions.

(2) If you cannot access us through telephone or website and your concern is regarding your Prim Advisors account held at Fidelity Institutional, you should call Fidelity directly at 800-FIDELITY. You will be given instructions on how to receive prompt access to funds and securities, enter orders, and process other trade-related cash and security transfer transactions.

(3) If you cannot access us through telephone or website and your concern is regarding your Prim Advisors account held at Deutsche Bank, you should call Deutsche Bank directly at 212-454-3600. You will be given instructions on how to receive prompt access to funds and securities, enter orders, and process other trade-related cash and security transfer transactions.

We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees, property, data, and records; making a financial and operational assessment; and allowing our customers to transact business. The BCP is structured so that Prim can resume normal operations as soon as possible after a significant business disruption.

Our BCP consists of the following ten components: (1) data back up and recovery; (2) all mission critical systems; (3) financial and operational assessments; (4) alternate communications between Prim and its customers; (5) alternate communications between Prim and its employees; (6) alternate physical location of employees; (7) critical supplier, contractor, bank and counter-party impact; (8) regulatory reporting; (9) communications with regulators, and (10) assurance of customers' prompt access to funds and securities in the event that Prim cannot continue business.

If you have questions about our BCP, please contact us at 216-830-1111.